FORM PTO-1390 (REV. 01-2003)

INTERNATIONAL APPLICATION NO.

PCT/JP2004/006337

US DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371**

INTERNATIONAL FILING DATE April 30, 2004

ATTORNEY'S DOCKET NUMBER 126984

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) New U.S. National Stage of PCT/ P2004/006337

PRIORITY DATE CLAIMED October 31, 2003

| MET   | HOD         | INVENTION<br>OF PREPARING ALIPHATIC POLYMER HAVING KETONE GROUP IN MAIN CHAIN THEREOF AND METHOD (<br>ING COMPOSITION CONTAINING THE SAME  |  |  |  |  |
|---|-------------|--|--|--|--|--|
|   |             | ITS FOR DO/EO/US<br>IIGEMATSU; Chikara MANABE; Masaki HIRAKATA; Kentaro KISHI; Miho WATANABE; Hiroyuki WATANABE  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |             |  |  |  |  |  |
| 1.  | $\boxtimes$ | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.   |  |  |  |  |
| 2.  |             | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.  |  |  |  |  |
| 3.  | $\boxtimes$ | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.  |  |  |  |  |
| 4.  | $\boxtimes$ | The US has been elected (Article 31).  |  |  |  |  |
| 5.  | $\boxtimes$ | A copy of the International Application as filed (35 U.S.C. 371(c)(2))   |  |  |  |  |
|   |             | a. 🛛 is attached hereto (required only if not communicated by the International Bureau).   |  |  |  |  |
|   |             | b.  has been communicated by the International Bureau.   |  |  |  |  |
|   |             | c.  is not required, as the application was filed in the United States Receiving Office (RO/US).   |  |  |  |  |
| 6.  | $\boxtimes$ | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))  |  |  |  |  |
|   |             | a. 🛛 is attached hereto.   |  |  |  |  |
|   |             | b.  has been previously submitted under 35 U.S.C. 154(d)(4).   |  |  |  |  |
|   |             | c.  The International Application was filed in English.  |  |  |  |  |
| 7.  |             | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))   |  |  |  |  |
|   |             | a.   are attached hereto (required only if not communicated by the International Bureau).  |  |  |  |  |
| •   |             | b.  have been communicated by the International Bureau.  |  |  |  |  |
|   |             | c.  have not been made; however, the time limit for making such amendments has NOT expired.  |  |  |  |  |
| · 2   |             | d.  have not been made and will not be made.   |  |  |  |  |
| 8.  |             | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).  |  |  |  |  |
| ,.9º  | $\boxtimes$ | An oath or declaration of the inventors (35 U.S.C. 371(c)(4)).   |  |  |  |  |
| 10.   |             | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).   |  |  |  |  |
| Items   | 11 t        | o 20 below concern document(s) or information included:  |  |  |  |  |
| 11.   | $\boxtimes$ | An Information Disclosure Statement under 37 CFR 1.97 and 1.98.  |  |  |  |  |
| 12.   | $\boxtimes$ | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.  |  |  |  |  |
| 13.   | $\boxtimes$ | A preliminary amendment.   |  |  |  |  |
| 14.   | $\boxtimes$ | An Application Data Sheet under 37 CFR 1.76.   |  |  |  |  |
| 15.   |             | A substitute specification.  |  |  |  |  |
| 16.   | $\boxtimes$ | A power of attorney and/or change of address letter.   |  |  |  |  |
| 17.   |             | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.   |  |  |  |  |
| 18.   |             | A second copy of the published international application under 35 U.S.C. 154(d)(4).  |  |  |  |  |
| 19.   |             | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).  |  |  |  |  |
| 20.   | $\boxtimes$ | Other items or information: Transmittal of Power of Attorney and Statement   |  |  |  |  |
|   |             | the control of the co |  |  |  |  |

IAP20 Rec'd PCT/PTO 14 FEB 2006

| U.S. APPLICATION NO. (if known, see 37 C.F. R. 15)  New U.S. Mational/Stage of O. PCT/JP2004006337   | PPLICATION NO. (if known, see 37 C.F.R. 15) U.S. National Stage of A. P. |                                 |   | ATTORNEY'S DOCKET NUMBER |  |  |  |
|--|--|---------------------------------|---|--------------------------|--|--|--|
|  |  |                                 |   | =                        |  |  |  |
| 21.  |  |                                 | CALCULATIONS                            | PTO USE ONLY             |  |  |  |
|  |  |                                 |   | T                        |  |  |  |
| BASIC NATIONAL FEE (37 CFR 1.492(a)):  |  | \$ 300.00                       | \$300.00                                |                          |  |  |  |
| SEARCH FEE (37 CFR 1.492(b)(1)-(3)):   |  |                                 | \$400.00                                | <del> </del>             |  |  |  |
|  |  |                                 | *************************************** |                          |  |  |  |
| International preliminary examination report of the USPTO as IPEA or ISA and favorable as  | s to novelty, inventive ster   | en and                          |   |                          |  |  |  |
| industrial applicability for all claims presented  | d in the application entering  | ing the                         |   |                          |  |  |  |
| national stage   |  | \$ 0.00                         |   | 1                        |  |  |  |
| International search fee (37 CFR 1.445(a)(2))  | ) paid to USPTO as ISA.  | \$ 100.00                       |   |                          |  |  |  |
| International search report provided to USPT   | 'O are later than the time   | t titale                        |   |                          |  |  |  |
| the search fee is paid   | O no later than the time a   | at which \$ 400.00              |   |                          |  |  |  |
|  |  |                                 |   |                          |  |  |  |
| All situations not provided for above  |  | \$ 500.00                       |   |                          |  |  |  |
| EXAMINATION FEE (37 CFR 1.492(c)(1)-(2)  | <i>i</i> ):  |                                 | \$200.00                                |                          |  |  |  |
| International preliminary examination report of  | or written opinion prepare   | ad hv                           | ,                                       |                          |  |  |  |
| the USPTO as IPEA or ISA and favorable as  | to novelty inventive ster  | n and                           | ,                                       | 1                        |  |  |  |
| industrial applicability for all claims presented national stage   | in the application enterin   | ng the \$ 0.00                  | 1                                       |                          |  |  |  |
| į.   |  |                                 | 1                                       | 1                        |  |  |  |
| All situations not provided for above  |  | \$ 200.00                       | · · · · · · · · · · · · · · · · · · ·   |                          |  |  |  |
| Surcharge of \$130.00 for furnishing the oath earliest claimed priority date (37 CFR 1.492(e   | or declaration later than 3  | 30 months from the              | \$                                      |                          |  |  |  |
| TOTAL PAGES OF   | <del>!)).</del>  | T                               | <del></del>                             | <del> </del>             |  |  |  |
| APPLICATION OVER 0 ÷ 50  | = †0   | x 250 =                         | \$0                                     | 1                        |  |  |  |
| tround up to next integer  |  | <u> </u>                        |   | L                        |  |  |  |
| CLAIMS NUMBER FILED  | D NUMBER EXTRA   | RATE                            | T \$                                    |                          |  |  |  |
| TOTAL CLAIMS 35 - 20   | = 15   | x 50.00 =                       | \$<br>\$750                             | 1                        |  |  |  |
| INDEPENDENT CLAIMS 2 - 3   | = 0  | x 200.00 =                      | \$0                                     | <del> </del>             |  |  |  |
| MULTIPLE DEPENDENT CLAIM(S)(if application)  |  | + 360.00 =                      | \$                                      |                          |  |  |  |
| Applicant claims small entity status. See  | TOTAL OF ABOVE C   | SALCULATIONS = 1                | \$1650.00                               |                          |  |  |  |
| Applicant claims small entity status. See reduced by ½.  | 37 CFR 1.27. The rees  | indicated above are             | \$                                      |                          |  |  |  |
|  |  | SUBTOTAL =                      | \$1650.00                               | <del></del>              |  |  |  |
| Processing fee of \$130.00 for furnishing the E the earliest claimed priority date (37 CFR 1.49  | nglish translation later th  | nan 30 months from              | \$                                      |                          |  |  |  |
| the earliest claimed phonty date (37 OF N 17   | 92(f)).  |                                 | <del></del>                             | L                        |  |  |  |
| Fee for recording the enclosed assignment (3   | 37 CFR 1 21(h)) The ass  | NATIONAL FEE = signment must be | \$1650.00<br>\$                         | <del> </del>             |  |  |  |
| 'accompanied by an appropriate cover sheet (   | 37 CFR 3.28, 3.31). \$40.  | 0.00 per property +             | <b>5</b>                                | l                        |  |  |  |
|  |  | EES ENCLOSED =                  | \$1650.00                               |                          |  |  |  |
|  |  |                                 | Amount to be                            |                          |  |  |  |
|  |  | 1                               | refunded:                               | \$                       |  |  |  |
| a.   Check No. 176400 in the amount of   | of \$1650 00 to cover the  | foos is enclose                 | charged:                                | \$                       |  |  |  |
| b. Please charge my Deposit Account No. in the amount of \$ to cover the above fees. A duplicate copy of this  |  |                                 |   |                          |  |  |  |
| sneet is enclosed.   | sheet is enclosed.   |                                 |   |                          |  |  |  |
| Deposit Account No. <u>15-0461</u> . A duplicate copy of this sheet is enclosed.   |  |                                 |   |                          |  |  |  |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |  |                                 |   |                          |  |  |  |
| information should not be include  | ded on this form. Provide  | de credit card informat         | tion and authorization                  | on PTO-2038.             |  |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b))   |  |                                 |   |                          |  |  |  |
| inust be filed and grafited to restore the application to pending status/  |  |                                 |   |                          |  |  |  |
| SEND ALL CORRESPONDENCE TO:<br>OLIFF & BERRIDGE, PLC   |  |                                 | X                                       |                          |  |  |  |
| OLIFF & BERRIDGE, PLC Customer Number: 25944   |  | 21245: 1000                     | <u> </u>                                |                          |  |  |  |
|  |  | NAME: James                     | \$ A. Oliff<br>IN NUMBER: 27,07         | 76                       |  |  |  |
| D-4- F-6 44 0000   |  | / /                             | 1                                       | <b>′</b> 5               |  |  |  |
| Date <u>February 14, 2006</u>  |  | NAME: Jesse                     |   |                          |  |  |  |
|  |  | REGISTRATIO                     | ON NUMBER: 53,83                        | 39                       |  |  |  |
|  |  | 1/                              |   |                          |  |  |  |
|  |  | $\sim$                          |   | 1                        |  |  |  |

## **PATENT APPLICATION**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE 0/568428 ication of AP20 RCC 0 PUPPO 14 FEB 2006

In re the Application of

Taishi SHIGEMATSU et al.

Application No.: New U.S. National Stage of

PCT/JP2004/006337

Filed: February 14, 2006

Docket No.:

126984

For:

METHOD OF PREPARING ALIPHATIC POLYMER HAVING KETONE GROUP IN

MAIN CHAIN THEREOF AND METHOD OF PREPARING COMPOSITION

CONTAINING THE SAME

## TRANSMITTAL OF POWER OF ATTORNEY AND STATEMENT UNDER 37 CFR § 3.73(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith is a Power of Attorney from the Assignee.

In compliance with 37 CFR §3.73(b), the undersigned hereby states that <u>FUJI XEROX</u> <u>CO., LTD.</u> is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventors of the patent application identified above. A copy of the assignment is attached hereto and is concurrently being submitted for recordation.

The undersigned is authorized to act on behalf of the assignee.

In accordance with 37 CFR §1.36(a), submission of this Power of Attorney revokes any powers of attorney previously given.

ALL CORRESPONDENCE IN CONNECTION WITH THIS APPLICATION SHOULD BE SENT TO OLIFF & BERRIDGE, PLC, CUSTOMER NO. 25944, TELEPHONE (703) 836-6400.

Respectfully submitted,

James A. Oliff

Registration No. 27,075

Jesse O. Collier

Registration No. 53,839

JAO: JOC/mps

Date: February 14, 2006